

FORMAT- ST1

APPLICATION FOR GRANT OF SHORT-TERM OPEN ACCESS
(to be submitted by the customer to SLDC)

To: Chief Engineer (Power System)
5th Floor, Shakti Bhawan, Lucknow

1	Customer Application No.	< self generated by customer >	Date	
2	Period of Transaction	< 3 months and more / less than 3 months / day-ahead >		
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		

<* In terms of power transfer>

4	Customer Name			
5	Registration Code		Valid up to	

< Registration code shall be as provided by SLDC >

6	Details of Transaction Party's to Grid			
		Injecting Entity	Drawee Entity	
	Name of Entity			
	Status of Entity*			
	Utility in which it is Embedded			

< * In terms of ownership- State Utility/CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

7	Details of Injecting/Drawee Connectivity with intra-State System			
		Injecting Entity	Drawee Entity	
	Name of Sub-station	Transmission		
		Distribution		
	Voltage Level	Transmission		
		Distribution		
	Name of Licensee (Owner of S/S)			
	Intervening intra-State Licensee			
	Intervening inter-State Licensee			

< Distribution license, if required, may treat interface periphery as its connectivity points >

8	Open Access Sought for (Period from date ____ to date ____)				
	Date		Hours		Capacity
	From	To	From	To	MW*

< MW* at point of injection >

9	Details of PPA/PSA/MoU					
	Name & Address of Parties		Date of PPA/PSA/MoU	Validity Period		Capacity
	Seller	Buyer		Commencement	Expiry	MW*

< MW* at point of injection >

10	Details of Non-Refundable Application Fee Made				
	Bank Details	Instrument Details			Amount(Rs.)
		Type(Draft/Cash)	Instrument No.	Date	

11	I hereby authorize SLDC to process said application, in case open access capacity allotted, for day-ahead scheduling in accordance with the provisions of intra-State ABT.
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12	Declaration
	All Entities/Utilities to transaction shall abide by provisions of the Electricity Act 2003(the Act), UPERC (Terms and Conditions for Open Access) Regulations read with “Procedure for Short-Term Open Access” and any other relevant regulation/order/ code as amended from time to time.

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Non-refundable application fee of Rs. 5,000/- by Demand draft or cash receipt (if payment by cash).
- (2) Self-certified copy of PPA/PSA/MoU entered between the parties (buyer and seller) of transaction stating contracted power, period of transaction, drawal pattern, point(s) of injection and drawal etc.
- (3) Self-certified copies of concurrence of STU and/or transmission licensee and/or distribution licensee. (If period of transaction is of three months and more)
- (4) If any other

Copy to along with relevant enclosures [except (1) & (2)]:

- (1) Chief Engineer (Transmission), U.P. Power Transmission Corporation Ltd., State Transmission Utility.
- (2) Managing Director of transmission licensee involved in transaction.
- (3) Managing Director of distribution licensee involved in transaction or as per clause 14.8 of procedure.
- (4) Officer in charge of transmission substation involved in transaction.
- (5) Officer in charge of distribution substation involved in transaction.
- (6) Any other concerned.

For use of SLDC (with Reference to Enrolment of Application)	
SLDC Reference ID No.	
Nodal SLDC Approval No.	<i>< if approved ></i>
Or Reason of Refusal* (If Refused)	

*< * SLDC may also enclose supporting documents for the reasons of refusal duly signed on each page >*

ACKNOWLEDGEMENT
(for office use only)

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(A) < to be filled by the customer >

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<* In terms of power transfer>

4	Customer Name			
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< Registration code shall be as provided by SLDC >

(B) < to be filled by SLDC >

Date and Time of Receipt of Application	
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Place
Date

Signature (with stamp)
Name & Designation

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ACKNOWLEDGEMENT

(to be issued by SLDC to the customer immediately on receipt of application duly filled in)

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(A) < to be filled by the customer >

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Date and Time of Receipt of Application	
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Place
Date

Signature (with stamp)
Name & Designation

N.B.: This counterfoil may be scored out and issued to the customer.

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