

SHORT-TERM OPEN ACCESS-APPLICATION FOR REGISTRATION

(to be submitted by the customer to SLDC)

To: Chief Engineer (Power System)
5th Floor, Shakti Bhawan, Lucknow

1	Customer Reference	< self generated by customer >	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader (on behalf of seller/buyer/captive user) >		
4	Trading License No. & Type	< if trader >	Valid up to	

< * In terms of power transfer >

5	Registration Sought for				
	Date		Hours		Capacity
	From	To	From	To	MW*

< MW* at point of injection >

TECHNICAL INFORMATION

6	Details of Transaction Party's to Grid		
		Injecting Entity	Drawee Entity
	Name of Entity		
	Status of Entity*		
	Whether Existing or New Entity		
	Utility in which it is Embedded		

< * In terms of ownership- State Utility/CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

7	Details of Injecting/Drawee Connectivity with intra-State System			
			Injecting Entity	Drawee Entity
	Name of Sub-station	Transmission		
		Distribution		
	Voltage Level	Transmission		
		Distribution		
	Name of Licensee (Owner of S/S)			
	Intervening intra-State Licensee			
	Intervening inter-State Licensee			

< Distribution license, if required, may treat interface periphery as its connectivity points >

< Customer may enclose supporting documents for connectivity details duly signed on each page >

8	Details of Injecting/Drawee Interface Metering						
	Installation Details of ABT Meters		Injecting Entity			Drawee Entity	
			Type	Make	Rating	Type	Make
	Name of Sub-station	Transmission					
		Distribution					
	Voltage & Current Level	Transmission					
		Distribution					

< Customer may also enclose supporting documents for metering details duly signed on each page >

9	Other relevant Information to support processing of application	
	< Customer may enclose supporting documents duly signed on each page >	

Technical Information of 'Electricity Systems' of Injecting and Drawee Entity

10	Description of connected 'electricity systems' of Injecting/Drawee Entities with Single Line Diagrams <Customer may enclose supporting documents duly signed on each page>
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11	In case of Generating Station (Existing/Extension of Existing Station) < Customer may enclose supporting documents, as considered appropriate, duly signed on each page >			
	(a) Existing Details of Station			
	(1) Name			
	(2) Name of Promoter			
	(3) Location (with District)			
	(4) Generation Capacity			
	(5) Details of Units	Unit-	Unit-	Unit-
	(Capacity in MW)			
	(6) Type	< thermal/hydro/non-conventional/specify, if any other >		
	(7) Fuel			
	(8) Whether Captive Plant	< yes/no >		
	(9) Other relevant Information			
	(b)Augmentation/Renovation/Modernisation/Extension Details of Station (if any)			
	(1) Specific Details			
	(2) Commissioning Schedule			
	(3) Other relevant Information			
	(c) Other information as notified by SLDC on its web-site			

12	Details of Final Beneficiary (to whom Power is to be Transmitted and/or Wheeled) < Customer may enclose supporting documents, as considered appropriate, duly signed on each page >	
	(A) In case of Distribution Licensee	
	(a)(1) Name of Entity	
	(2) Address of Entity	
	(3) Utility in which it is Embedded	
	(4) Allocation of Power (MW)	
	(5) Other relevant Information	
	(b) Other information as notified by SLDC on its web-site	
	(B) In case of other than Distribution Licensee	
	(a)(1) Name of Person/Entity	
	(2) Address of Person/Entity	
	(3) Utility in which it is Embedded	
	(4) Place of Installation to be Benefited	
	(5) Allocation of Power (MW)	
	(6)Beneficiary is Consumer of Distribution Licensee	< yes/no>
	If Yes , then i) Name of Distribution Licensee	
	ii) Existing Contracted Capacity	
	(7) Other relevant Information	
	(b) Other information as notified by SLDC on its web-site	

GENERAL INFORMATION

13	Registered Address	< if registered as per Companies Act 1956 >
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14	Authorised Person(s)			
	(a) Prime Contact Details for the Purpose of Correspondences (including Snap Bidding)			
	Name			
	Designation			
	Phone	(Off)	(Res)	
	Mobile		Fax	
	E-Mail			
	(b) Alternate Contact Details			
	Name			
	Designation			
	Phone	(Off)	(Res)	
	Mobile		Fax	
	E-Mail			

15	Declaration
	<p>(a) All Entities/Utilities to transaction shall abide by provisions of the Electricity Act 2003(the Act), UPERC (Terms and Conditions for Open Access) Regulations read with “Procedure for Short-Term Open Access” and any other relevant regulation/order/code as amended from time to time.</p> <p>(b) M/s _____ have a valid license (No. _____, Type ___ and valid up to _____) issued by _____ for inter-State/intra-state trading and will abide by CERC Regulations on inter-State Trading License, as amended from time to time/ UPERC (Procedure, Terms and Conditions for grant of Trading Licence for Intrastate Electricity Trader and other related provisions) Regulations and amendments thereof .</p> <p style="text-align: center;"><i>< This clause is applicable only in case customer is a trader ></i></p> <p>(c) I hereby agree to keep SLDC indemnified at all times and shall undertake to indemnify, defend and keep SLDC harmless from any and all damages, losses, claims and actions including those relating to injury to or death of any person or damage to property, demands, suits, recoveries, costs and expenses, court costs, attorney fees, and all other obligations by or to third parties, arising out of or resulting from open access transaction.</p>

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Self-certified copy of trading license. (if applicable)
- (2) If any other.

Copy to along with relevant enclosures [except (1)]

- (1) Chief Engineer (Transmission), U.P.Power Transmission Corporation Ltd., State Transmission Utility.
- (2) Managing Director of transmission licensee involved in transaction.
- (3) Managing Director of distribution licensee involved in transaction.
- (4) Officers in charge of transmission substation involved in transaction.
- (5) Officers in charge of distribution substation involved in transaction.
- (6) Any other concerned.

For use of SLDC (with Reference to Enrolment of Registration)	
SLDC Reference No.	
Registration Code	<i>< if registered ></i>
Or Reason of Refusal (If Refused)	

< SLDC may also attach supporting documents for the reasons of refusal duly signed on each page >

< SLDC may notify and collect necessary information from generating station/licensee/consumer and decides about registration on the basis of available historical data, technical and commercial information with him >

ACKNOWLEDGEMENT
(for office use only)

SHORT-TERM OPEN ACCESS-APPLICATION FOR REGISTRATION

(A) < to be filled by the customer >

1	Customer Reference	< self generated by customer >	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		
4	Trading License No. & Type	< if trader >	Valid up to	

<* In terms of power transfer>

5	Registration Sought for				
	Date		Hours		Capacity
	From	To	From	To	MW*

< MW* at point of injection >

(B) < to be filled by SLDC >

Date and Time of Receipt of Application	
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Place
Date

Signature (with stamp)
Name & Designation

FORMAT- ST11: SHORT-TERM OPEN ACCESS-APPLICATION FOR REGISTRATION (Page -4 of 5)

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ACKNOWLEDGEMENT

(to be issued by SLDC to the customer immediately on receipt of application duly filled in)

SHORT TERM OPEN ACCESS-APPLICATION FOR REGISTRATION

(A) < to be filled by the customer >

1	Customer Reference	< self generated by customer >	Date	
2	Customer Name			
3	Nature of Customer*	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >		
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< MW* at point of injection >

(B) < to be filled by SLDC >

Date and Time of Receipt of Application	
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Place
Date

Signature (with stamp)
Name & Designation

FORMAT- ST11: SHORT-TERM OPEN ACCESS-APPLICATION FOR REGISTRATION (Page -4 of 5)

ENCLOSURE (FORMAT- ST11)

CERTIFICATE FROM TRANSMISSION/DISTRIBUTION LICENSEE FOR REGISTRATION

(to be seek for SLDC from concerned licensee for each injecting and drawee points)

Concerned transmission/distribution licensee Ref. No. _____

Date _____

To: Chief Engineer (Power System)
5th Floor, Shakti Bhawan, Lucknow

1	Name of Entity	
2	Status of Entity*	
3	Utility in which it is Embedded	
4	Whether Existing or New Entity	
5	Demand Requirement of Entity (MW)	
	(a) Existing Capacity in Use	
	(b) Registration Applied for	
	(c) Total Capacity (a+b)	
6	Details of Connectivity/Interface Point**	
	(a) Name of Sub-station	
	(b) Voltage Level	
7	Details of ABT Interface Metering**	
	(a) Type	
	(b) Make	
	(c) Rating	
8	Details of System Loading Pattern	<Licensee may enclose supporting documents detailing pattern of monthly system loading (at least for previous one year) duly signed on each page>
	(a)Transformer	
	(a) Electric Line	

< * In terms of ownership- State Utility/CPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

< ** Licensee may enclose supporting documents, as considered appropriate, duly signed on each page>

9. Other relevant as notified by SLDC on its web-site.

Place
Date

Signature (with stamp)
Name & Designation

Enclosures

- (1) Connectivity related supporting documents including outdoor and indoor single line diagrams.
- (2) Interface metering related supporting documents.
- (3) System loading pattern related supporting documents.
- (4) If any other

Copy to along with relevant enclosures:

- (1) Customer
- (2) Any other concerned.