

**APPROVAL FOR SHORT-TERM OPEN ACCESS**  
(to be issued by SLDC)

<b>Nodal SLDC Approval No.</b>	/ (R-0)	<b>Date</b>
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< 'R-O' states original approval with revision no. - zero >

<b>1 Customer Application No.</b>	<as provided by customer on FORMAT-ST1>	<b>Date</b>
<b>2 Period of Transaction</b>	< 3 months and more / less than 3 months / day-ahead >	
<b>3 Nature of Customer*</b>	< seller/buyer/captive user/trader(on behalf of seller/buyer/captive user) >	

<\* In terms of power transfer>

<b>4 Customer Name</b>	
<b>5 Registration Code</b>	<b>Valid up to</b>

<b>6 Details of Transaction Party's to Grid</b>		
	<b>Injecting Entity</b>	<b>Drawee Entity</b>
<b>Name of Entity</b>		
<b>Status of Entity*</b>		
<b>Utility in which it is embedded</b>		

< \* In terms of ownership- State Utility/PPP/IPP/ ISGS/Discom/Consumer/specify, if any other >

<b>7 Details of Injecting/Drawee Connectivity with intra-State System</b>			
		<b>Injecting Entity</b>	<b>Drawee Entity</b>
<b>Name of Sub-station</b>	<b>Transmission</b>		
	<b>Distribution</b>		
<b>Voltage level</b>	<b>Transmission</b>		
	<b>Distribution</b>		
<b>Name of Licensee (Owner of S/S)</b>			
<b>Intervening intra-State Licensee</b>			
<b>Intervening inter-State Licensee</b>			

< Distribution license, if required, may treat interface periphery as its connectivity points >

<b>8 Open Access Approved for ( Period from date _____ to date _____ )</b>						<b>Revision No.</b>	<b>0</b>
<b>Month</b>	<b>Date</b>		<b>Hours</b>		<b>Capacity (MW)</b>		<b>MWh</b>
	<b>From</b>	<b>To</b>	<b>From</b>	<b>To</b>	<b>Applied</b>	<b>Allotted</b>	
						<b>Total MWh</b>	

<b>9 Details of Bidding &lt; only in case of Bidding &gt;</b>						
<b>Details of Intra-State System</b>	<b>Date</b>		<b>Hours</b>		<b>Applicable Rate (Rs./kWh)</b>	
	<b>From</b>	<b>To</b>	<b>From</b>	<b>To</b>		
	<b>Transmission System</b>					
<b>Distribution System</b>						

10. The approved transaction shall be incorporated in day-ahead scheduling in accordance with the provisions of intra-State ABT. < only in case of approval >

11. Please submit following to SLDC with details on format [FORMAT-ST5] in the manner as specified in procedure: < only in case of approval >

(a) SLDC Fee Amount Rs. \_\_\_\_\_ Due Date: \_\_\_\_\_

(b) Security Amount Rs. \_\_\_\_\_ Due Date: \_\_\_\_\_

12. The approval is subject to provisions of UPERC (Terms and Conditions for Open Access) Regulations read with “Procedure for Short-Term Open Access” and any other relevant regulation/order/code as amended and applicable from time to time. *< only in case of approval >*

13. No approval is being granted on account of *<only in case of rejection>*

*< SLDC shall convey specific reasons if open access is denied and may also enclose supporting documents to support the same duly signed on each page >*

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Place  
Date

Signature (with stamp)  
Name & Designation

**Enclosures**

- (1) Schedule of payments *< only in case of approval >*
- (2) If any other

**Copy to along with enclosures**

- (1) Customer
- (2) Chief Engineer (Transmission), U.P. Power Transmission Corporation Ltd., State Transmission Utility.
- (3) Managing Director of transmission licensee involved in transaction.
- (4) Managing Director of distribution licensee involved in transaction or as per clause 14.8 of procedure.
- (5) Officer in charge of transmission substation involved in transaction.
- (6) Officer in charge of distribution substation involved in transaction.
- (7) Any other concerned.

**ENCLOSURE (FORMAT- ST2)**

**SCHEDULE OF PAYMENTS**

(to be enclosed for each month by SLDC along with FOMAT-ST2)

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<b>4 Customer Name</b>	
<b>5 Registration Code</b>	<b>Valid up to</b>

<b>6 Tentative* Monthly Payment Schedule for Short-Term Open Access Charges</b>	<b>Month</b>
<b>( Period: date ____ to date ____ )</b>	
<b>Payment Chargeable for</b>	<b>Rate (Rs./kWh)</b>
	<b>MWh</b>
	<b>Total (Rs.)</b>
<b>(1) Intra-State Network</b>	
<b>(a) Transmission Charges</b>	
.....concerned Transmission Licensee	
..Intervening intra-State Licensee(if any)	
<b>(b) Wheeling Charges</b>	
.....concerned Distribution Licensee	
..Intervening intra-State Licensee(if any)	
<b>(c) Surcharge</b>	
.....concerned Distribution Licensee	
<b>(d) Additional Surcharge</b>	
.....concerned Distribution Licensee	
<b>(e) SLDC Charges</b>	
.....SLDC	
<b>(2) Inter-State Network</b>	
<b>Transmission Charges</b>	
..Intervening inter-State Licensee(if any)	
<b>Total Monthly Payment Amount (Rs.)</b>	

Place  
Date

Signature (with stamp)  
Name & Designation

\* Tentative on the basis of MWh mentioned in application which may vary on actual operation.